Jacobsen Declaration Exhibit R
NOTICE OF ALLOWANCE AND FEE(S) DUE

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APPLICATION No. 10/124,878
FILING DATE 04/17/2002
FIRST NAMED INVENTOR Matthew A. Katzer
ATTORNEY DOCKET NO. KLR7431.056
CONFIRMATION NO. 3644

EXAMINER HERNANDEZ, OLGA
ART UNIT 3661
CLASS-SUBCLASS 105-001500

DATE MAILED: 11/04/2002

TITLE OF INVENTION: MODEL TRAIN CONTROL SYSTEM

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT.
PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS.
THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON
PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE
MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY
PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT
FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT)
MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS
ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current
SMALL ENTITY status:
A. If the status is the same, pay the TOTAL FEE(S) DUE shown
above.
B. If the status is changed, pay the PUBLICATION FEE (if required)
and twice the amount of the ISSUE FEE shown above and notify the
United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:
A. Pay TOTAL FEE(S) DUE shown above, or
B. If applicant claimed SMALL ENTITY status before, or is now
claiming SMALL ENTITY status, check the box below and enclose the
PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

☐ Applicant claims SMALL ENTITY status.
See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with
your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should
be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should
be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to
Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of
maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.
**Abstract**

A system which operates a digitally controlled model railroad transmitting a first command from a first client program to a resident external controlling interface through a first communications transport. A second command is transmitted from a second client program to the resident external controlling interface through a second communications transport. The first command and the second command are received by the resident external controlling interface which queues the first and second commands. The resident external controlling interface sends third and fourth commands representative of the first and second commands, respectively, to a digital command station for execution on the digitally controlled model railroad.

27 Claims, 3 Drawing Sheets
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Katzer
Serial No.: 10/124,878
Filed: 04/17/2002
Title: MODEL TRAIN CONTROL SYSTEM

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September 13, 2002

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING OF EITHER A FINAL ACTION
OR NOTICE OF ALLOWANCE (37 CFR § 1.97(c))

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

TIME OF TRANSMITTAL OF ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement submitted herewith is being filed after three months of
the filing date of this national application or the date of entry of the national stage, as set
forth in § 1.491, of the international application or after the mailing date of a first office
action on the merits, whichever event occurred last, but before the mailing date of either:
(1) a final action under §1.113 or
(2) a notice of allowance under § 1.311,
whichever occurs first.

Exhibit R page 3
STATEMENT OR FEE

2. Accompanying this transmittal is:
   A. ☐ a statement as specified in 37 CFR § 1.97(e).

       OR

   B. ☑ the fee set forth in 37 CFR § 1.17(p) for submission of an information disclosure
       statement under § 1.97(c).

METHOD OF PAYMENT OF FEE

3. ☑ Attached is a ☑ check ☐ money order in the amount of $180.00

   ☐ Authorization is hereby made to charge the amount of

   ☐ to Deposit Account No. 03-1550

   ☐ to credit card shown on the attached authorization form PTO-2038.

   ☑ Charge any additional fees required by this paper or credit any overpayment in the
   manner authorized above.

   A duplicate of this paper is attached.

This application relies upon the earlier filing date of the prior application(s):

   Serial No. 09/658,222    Filing date 05/15/2001

The following references were submitted to and/or cited by the Office in the prior application(s)
and, therefore, copies are not required to be provided in this application:

   References AA-AR

   CA

In accordance 37 CFR § 1.97(h), the filing of this information disclosure statement will not be
regarded as an admission that any reference or combination of references referred to herein is,
or is considered to be, material to patentability under 37 CFR § 1.56(b) unless specifically
designated as such.

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